

# Potential Mechanisms of Cefiderocol MIC Increase in Enterobacterales in *In Vitro* Resistance Acquisition Studies

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## Abstract (Revised)

**Background:** Cefiderocol (CFDC) is a novel siderophore, iron-chelating cephalosporin, which is transported into bacteria via iron transporters. CFDC has potent *in vitro* and *in vivo* activity against all aerobic Gram-negative bacteria, including carbapenem-resistant strains. To date, clinical isolates with cefiderocol MIC >4 µg/mL have been found infrequently, in which the presence of a few β-lactamases or altered iron transport was found. We investigated potential new mechanisms causing CFDC MIC increases in non-clinical studies.

**Methods:** The mutation positions were determined by whole genome sequencing using four *K. pneumoniae* mutants including two KPC producers and one NDM producer that had shown CFDC MIC increases in previous *in vitro* resistance-acquisition studies. The mutant strains were obtained at the frequency of 10<sup>-7</sup> to <10<sup>-8</sup> by spreading bacteria on standard Mueller–Hinton agar medium containing CFDC at concentrations of 10 × MIC, with or without apo-transferrin (20 µg/mL). CFDC MIC was determined by broth microdilution using iron-depleted cation-adjusted Mueller-Hinton broth based on Clinical and Laboratory Standards Institute guidelines. The emergence of MIC increase mutants was also assessed by *in vitro* chemostat models under humanized plasma pharmacokinetic exposures of CFDC.

**Results:** The possible resistance mechanisms were investigated. Mutation of *baeS* or *envZ*, sensors of two-component regulation systems, were found in three or two mutants among the tested four isolates, respectively, and caused the MIC to increase 4- to 32-fold. The altered expression level of iron transporter genes by the *envZ* mutation could affect CFDC susceptibility, although the specific genes by the *baeS* mutation have not been identified. In addition, the mutation of *exbD*, an accessory protein related to iron transport, was identified in one case and caused the MIC increase of >8-fold. *In vitro* chemostat studies using two isolates (one NDM producer and one KPC producer) showed no resistance acquisition during 24-hour exposure.

**Conclusions:** The mutation of two-component regulation systems (BaeSR and OmpR/EnvZ) and iron transport-related proteins were shown to be possible mechanisms by which CFDC MIC increases, but these mutants did not appear under human PK exposures.

## Introduction

- Cefiderocol (CFDC) is a novel siderophore cephalosporin with activity against a wide variety of Gram-negative bacteria, including carbapenem-resistant Enterobacterales and non-fermenters.
- CFDC has been approved in the USA for the treatment of patients with complicated urinary tract infections (cUTI) and hospital-acquired bacterial pneumonia and ventilator-associated bacterial pneumonia (HABP/VABP) caused by Gram-negative bacteria and in Europe for the treatment of infections due to Gram-negative pathogens with limited treatment options in 2019 [1, 2].
- The high CFDC MIC in the clinical isolates, which appeared infrequently (161 isolates with CFDC MIC of >4 µg/mL among 28,629 isolates) in the 3-years-consecutive multinational surveillance studies (SIDERO-WT) conducted between 2014 and 2017 was mainly due to the production of multiple β-lactamases such as PER or NDM [3, 4].
- The non-clinical studies showed the relationship with iron metabolism mutation and CFDC MIC increase. The deficiency of specific iron transporters (Fiu and Cir in case of *E. coli* and *K. pneumoniae*) have been shown to cause the CFDC MIC increase [5].
- In this study, we evaluated the molecular profile of the isolates of *K. pneumoniae* which showed high MIC to CFDC in the frequency of the resistance studies.

**Table 1.** Dosing regimen which were used to recreate humanized PK in chemostat models

Drugs	Dosing regimens used in this study
Cefiderocol	2 g, q8h, 3h-infusion
Ceftazidime/avibactam	ceftazidime 2 g/avibactam 0.5 g, q8h, 2h-infusion
Meropenem	1 g, q8h, 0.5h-infusion

## Materials and Methods

### Bacterial strains

- Four strains of *K. pneumoniae* were used in this study; ATCC 13883, two KPC producers (VA-361 and VA-384) and one NDM producer (NCTC 13443).

### Isolation and characterization of the mutants with CFDC MIC increase

- The mutant strains were obtained by spreading the overnight culture of each strain on standard Mueller–Hinton agar medium containing CFDC at concentrations of 10 × MIC, with or without apo-transferrin (20 µg/mL).
- The MICs were determined by broth microdilution methods recommended by Clinical and Laboratory Standards Institute guidelines. The CFDC MIC was determined in the iron-depleted cation-adjusted Mueller-Hinton broth [6].
- The mutation site was determined by whole genome sequencing.

### *In vitro* chemostat studies

- The bactericidal activity against 2 isolates (NCTC 13443 and VA-361), which showed high MIC in the mutant, under humanized PK exposure was evaluated using chemostat models. The PK profiles which occurred by the dosing regimen shown in **Table 1** were reproduced in this study.
- The bacterial suspension was incubated under the humanized PK exposure for 24 hours with the initial inoculum of 3 × 10<sup>8</sup> to 1 × 10<sup>6</sup> CFU/mL, and the viable cells were counted every 2 hours.

## Results

- The mutant strains were obtained at the frequency of 10<sup>-7</sup> to <10<sup>-8</sup> by spreading bacteria on standard Mueller–Hinton agar medium containing CFDC at concentrations of 10 × MIC, with or without apo-transferrin (20 µg/mL). (**Table 2**).
- Against ATCC 13663, the 32-fold MIC increase was observed probably due to *baeS* mutation, but the mutant MIC was 2 µg/mL (**Table 3-1**).
- Against an NDM producer NCTC 13443, >8-fold MIC increase was observed, and the mutant MIC was high (>32 µg/mL). Variable gene mutation such as *baeS*, *exbD* and *yicM* in each mutant was observed (**Table 3-2**).
- Against 2 KPC producers VA-361 and VA-384, only ≤4-fold MIC increase was observed, and the mutant MIC was not so high (8 to 16 µg/mL). The gene mutation in *envZ*, *ompR* and *baeS* was observed in these mutants (**Table 3-3, 4**).
- Mutation of *baeS*, a sensor of two-component regulation systems, was observed in the 3 strains among 4 test strains. It is expected that the *baeS* mutation could affect the expression of various genes, but the specific CFDC MIC increase-related genes by the *baeS* mutation have not been identified (**Table 4**).
- Mutation of *envZ* or *ompR*, two-component regulation systems, was observed in 2 strains among 4 test strains. These mutations were reported to be contributed to the MIC increase of other catechol-substituted cephalosporin, which could be due to the altered expression level of iron transporter genes [8] (**Table 4**).
- Mutation of *exbD*, an accessory protein related to iron transport, was identified in one case and caused the MIC increase by >8-fold (**Table 4**).
- Against VA-361, bactericidal action without re-growth for 72 hours was observed under the human PK exposure of CFDC (Fig. 1). Against NCTC13443, no resistant colonies were detected for 72 hours although re-growth was observed (Fig. 2)

**Table 2.** Frequency of resistance to CFDC in *K. pneumoniae*

	Frequency of resistance appearance on MHA	
	without apo-T	with apo-T
<i>K. pneumoniae</i> ATCC 13883	<1 X 10 <sup>-8</sup>	<1 X 10 <sup>-8</sup>
<i>K. pneumoniae</i> NCTC 13443 (NDM producer)	Not determined	4 X 10 <sup>-7</sup>
<i>K. pneumoniae</i> VA-361 (KPC producer)	<2.1 X 10 <sup>-8</sup>	1.9 X 10 <sup>-7</sup>
<i>K. pneumoniae</i> VA-384 (KPC producer)	1.3 X 10 <sup>-7</sup>	2.2 X 10 <sup>-8</sup>

## Results

**Table 3.** Profile of the resistant mutants

### 1. *K. pneumoniae* ATCC 13883

- BaeS (transcription regulator) mutation in all 5 isolates
  - Val295Gly mutation in all 5 isolates

	MIC (µg/mL) of						
	CFDC	CAZ	CFPM	MEPM	PIPC/TAZ	CAZ/AVI	CEF/TAZ
Parent	0.063	0.25	0.063	≤ 0.031	4	0.25	0.5
<i>baeS</i> Mutant	2	1	0.125 to 0.25	≤ 0.031	4 to 8	1 to 2	2

### 2. *K. pneumoniae* NCTC 13443 (NDM producer)

- BaeS (transcription regulator) mutation in 2 of 5 isolates
  - Val295Gly or Thr 279 Pro mutation
- ExbD (TonB-dependent energy transduction) mutation in 1 of 5 isolates
  - Leu49frame shift mutation
- YicM (Unknown function) mutation in 2 of 5 isolates
  - Gly32Asp mutation in both isolates

	MIC (µg/mL) of						
	CFDC	CAZ	CFPM	MEPM	PIPC/TAZ	CAZ/AVI	CEF/TAZ
Parent	4	> 32	> 32	> 32	> 32	> 32	> 32
<i>baeS</i> mutant	>32	> 32	> 32	> 32	> 32	> 32	> 32
<i>exbD</i> mutant	>32	> 32	> 32	> 32	> 32	> 32	> 32
<i>yicM</i> mutant	>32	> 32	> 32	> 32	> 32	> 32	> 32

### 3. *K. pneumoniae* VA-361 (KPC producer)

- EnvZ (transcription regulator) mutation in 3 of 4 isolates
  - Val124Gly or Val147Gly or Ile152Asp mutation
- OmpR (transcription regulator) mutation in 1 of 4 isolates
  - Met62Arg mutation

	MIC (µg/mL) of						
	CFDC	CAZ	CFPM	MEPM	PIPC/TAZ	CAZ/AVI	CEF/TAZ
Parent	4	> 32	> 32	16	> 32	0.5	> 32
<i>envZ</i> mutant	4 to 16	> 32	> 32	16	> 32	2	> 32
<i>ompR</i> mutant	8	> 32	> 32	16	> 32	1	> 32

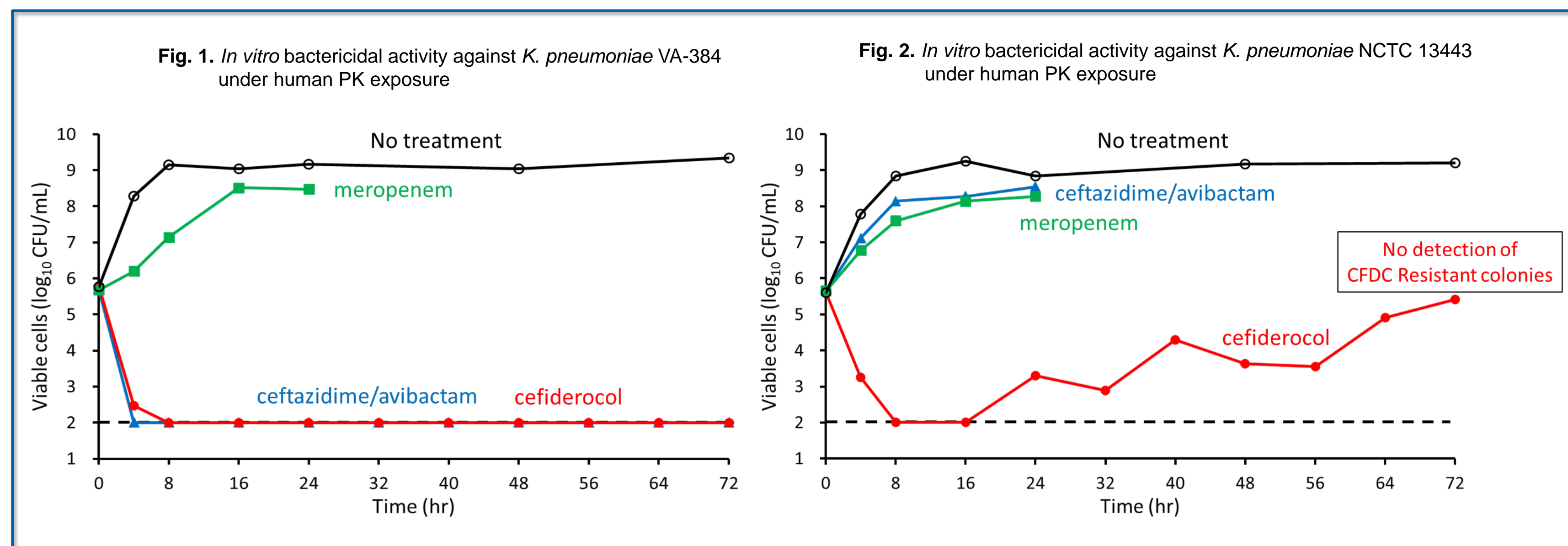
### 4. *K. pneumoniae* VA-384 (KPC producer)

- EnvZ (transcription regulator) mutation in 4 of 5 isolates
  - Leu18 frameshift or Val54Gly or Val145Gly or Ile152Asn mutation
- BaeS (transcription regulator) mutation in 1 of 5 isolates
  - Thr200Pro mutation

	MIC (µg/mL) of						
	CFDC	CAZ	CFPM	MEPM	PIPC/TAZ	CAZ/AVI	CEF/TAZ
Parent	4	> 32	> 32	>32	> 32	1	> 32
<i>baeS</i> mutant	16	> 32	> 32	16	> 32	2	> 32
<i>envZ</i> mutant	16	> 32	> 32	16	> 32	2	> 32

**Table 4.** Summary of the possible factors which might affect CFDC MIC increase

Mutated Genes	CFDC MIC increase	Possible function related with CFDC MIC increase
<i>baeS</i>	0.063 → 2	Two-component transcriptional regulator, which might affect the expression of a variety of genes related with envelope stress response pathway.
	4 → >32	The genes which affect cefiderocol activity under the control by BaeS/R have not been identified.
	4 → 16	
<i>ompR/envZ</i>	4 → 16	Two-component transcriptional regulator which has been reported to affect the expression of iron transporters
	4 → 8	
	4 → 16	
<i>exbD</i>	4 → >32	TonB-dependent energy transduction system which has been reported to affect the ability of iron transporters



## Conclusions

- The mutation of two-component regulation systems (BaeSR and OmpR/EnvZ) and iron transport-related proteins were shown to be possible mechanisms causing CFDC MIC increases.
- The potential risk for the resistance acquisition to CFDC was not high against *K. pneumoniae* as no resistant mutants emerged under human PK exposure.

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